

वित्त समिति
की तैंतीसवीं बैठक का कार्यवृत्त
**MINUTES OF THE 33RD MEETING
OF THE FINANCE COMMITTEE**

5 मार्च 2014
5TH MARCH 2014



भारतीय प्रौद्योगिकी संस्थान रुड़की
रुड़की – 247667 (भारत)
**INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
ROORKEE - 247667 (INDIA)**

भारतीय प्रौद्योगिकी संस्थान रुड़की
INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
रुड़की-247 667 / **ROORKEE - 247 667**



वित्त समिति की 33^{वीं} बैठक का कार्यवृत्त
**MINUTES OF THE 33rd MEETING OF THE
FINANCE COMMITTEE**

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भारतीय प्रौद्योगिकी संस्थान रुड़की
INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
रुड़की – 247 667 / **ROORKEE – 247 667**



भारतीय प्रौद्योगिकी संस्थान रुड़की की वित्त समिति की दिनांक 5 मार्च 2014 को भारतीय प्रौद्योगिकी संस्थान रुड़की के अभिशासक कक्ष में दोपहर 12.00 बजे आहूत 33^{वाँ} बैठक का कार्यवृत्त।

Minutes of the 33rd Meeting of the Finance Committee of the Indian Institute of Technology Roorkee held on 5th March 2014 at 12.00 Noon in the Board Room of the Institute.

उपस्थित / **PRESENT:**

1. Mr. Analjit Singh	Chairman
2. Prof. Pradipta Banerji, Director, IIT Roorkee	Member
3. Mr. Navin Soi, Director(F), MHRD (Representing Jt. Secretary & Financial Advisor, MHRD)	Member
4. Mr. R.S.T. Sai, THDC, Rishikesh	Member
5. Prof. Ravi Bhushan, IIT Roorkee	Member
6. Prof. P.K.Ghosh, IIT Roorkee	Member
7. Prof. S.P. Gupta, Dy. Director, IIT Roorkee	Permanent Invitee
8. Lt. Col. (Retd.) A.K. Srivastava, Registrar	Secretary

At the outset, the Chairman welcomed the members to the 33rd meeting of the Finance Committee.

The agenda was then taken up.

Item No. 33.1: To confirm the minutes of the 32nd meeting of the Finance Committee held on 30.11.2013.

1.1 The Finance Committee **noted** that the minutes of the 32nd meeting of the Finance Committee held on 30.11.2013, were circulated to all the members of the Finance Committee (vide letter No. IITR/MS/32nd FC/9116 dated 13th January 2014) for their comments, and that no comments were received.

1.2 The Finance Committee then **confirmed** the Minutes of the 32nd Meeting.


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Item No.33.2: Report of Actions Taken on the Minutes of the 32nd Meeting of the Finance Committee held on 30.11.2013.

2.1 The Finance Committee **considered** the Actions Taken on the Minutes of the 32nd meeting of the Finance Committee held on 30.11.2013.

2.2 The Finance Committee **noted** the actions taken as reported.

Item No.33.3, 33.4, 33.5 & 33.6: To consider the preliminary estimates:

- (a) **Rs.6417.00 lacs submitted by the NBCC for Lecture Hall Complex-II in the I.I.T. Roorkee Campus as recommended by the Building & Works Committee.**
- (b) **Rs. 7316.00 lacs submitted by the NBCC for Boys' Hostel including cost of furniture in the I.I.T. Roorkee Campus as recommended by the Building Works Committee.**
- (c) **Rs. 2875.00 lacs submitted by the NBCC for Students' Activity Centre in IIT Roorkee Campus as recommended by the Building & Works Committee.**
- (d) **Rs. 6541.00 lacs submitted by the NBCC for Transit Accommodation/Married Hostel including cost of room furniture in IIT Roorkee Campus as recommended by the Building & Works Committee.**

3.1 The Finance Committee **considered** the preliminary estimates submitted by the NBCC for the above works in the I.I.T. Roorkee Campus.

3.2 After deliberation, the Finance Committee recommended that in the absence of more options from leading architects, the designs proposed by the NBCC can not be compared in terms of quality of elevation, architectural genre, functionality and visual impact. Hence, the proposals be not approved. Further, bids be invited from reputed National/ International Architects, who specialize in Educational Campus Design, to present proposals for the four projects within the ceiling of Rs. 231.49 crores. The proposals should include the total cost of Works i.e. Civil Services, Lifts, Water Tanks, Site Development &


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Horticulture, E&M Works, Furniture etc. Each bidder will be required to give two options for each of the four projects, named above. After short-listing, presentations will be made by the short-listed bidders plus M/S RT& Associates (P) Ltd., Noida, during the next meeting of the Finance Committee, scheduled on 10th May 2014.

3.3 Two firms will be identified out of those who present their plans, drawings, bills of quantity estimates, etc., on 10th May 2014. Both the firms shall be given 12 days to re-work their plans drawings, estimates etc., based on the observations made by the Finance Committee. The final presentation on the two selected options will be made by the two identified firms, during the next meeting of the Board of Governors scheduled on 22nd May 2014, to select the firm that shall be awarded the job of developing the final plans, drawing, bills of quantity, estimates etc. for the aforesaid four projects. It may be borne in mind that the design developed should be iconic and inspiring, as does the architecture of the Main Building (James Thomason Building) and the Mahatma Gandhi Central Library of I.I.T. Roorkee.


Item No. 33.7: To consider the preliminary estimate of Rs. 7.91 crores submitted by NBCC towards site development at the Greater Noida Extension Centre as recommended by the Building & Works Committee and the Finance Committee.

7.1 The Finance Committee **considered** the preliminary estimate of Rs. 7.91 crores submitted by the NBCC towards site development at Greater Noida Extension Centre.

7.2 The Finance Committee recommended that the work towards site development at Greater Noida Extension Centre as per details given below be awarded to the National Building Construction Corporation Ltd. (NBCC) at a cost of Rs.7.91 crores as per the estimate submitted by them.

(a) Site Development & Landscaping at a cost of Rs. 3.99 crores:

- (a) Levelling
- (b) Internal Roads and paths
- (c) Sewer


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- (d) Filter Water Supply
- (e) Distribution lines 100 mm dia and below
- (f) Unfiltered water supply distribution lines
- (g) Storm Water Drains
- (h) Horticulture operations
- (i) Street lighting:
 - (aa) With HPSV (energy efficient) Lamps
 - (bb) With sign board including electric signage
- (j) Automatic Sprinkler System

(b) Other Works at a cost of Rs. 1.92 crores

- (a) Earth Filling
- (b) Rain Water Harvesting
- (c) Boundary Wall
- (d) Tube-well

(c) Additional Facility for Existing Academic Building and Faculty Hostel at a cost of Rs. 1.07 crores

- (a) Civil, Electrical and Plumbing Works
- (b) Laundry Equipment
- (c) Ventilation System for Faculty Hostel (Toilet and Kitchen)
- (d) Development and Horticulture Work on the outside road and parking (Bus and Car)
- (e) Campus Security System (2-CCTVs, 50 Cameras)
- (f) Wi-Fi System

(d) Contingencies @ 3% Rs. 0.21 crores

(e) NBCC agency charges @ 10% Rs. 0.72 crores

Item No. 33.8: To consider the Budget proposals of the Institute for the Financial Year 2014-15.

8.1 The Finance Committee **considered** the Budget proposals of the Institute for the Financial Year 2014-15.

8.2 The Finance Committee **recommended** the under mentioned Budget proposals of the Institute for the Financial Year 2014-15, as given at **Appendix 'A'** for approval of the MHRD:



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- (a) Budget proposals for expenditure under the Plan Grant.
- (b) Budget proposals for expenditure under the Non-Plan Grant.

Item No. 33.9: To consider the Audit Certificate for the Financial Year 2012-13.

9.1 The Finance Committee **considered** the Audit Certificate for the Financial Year 2012-13.

9.2 The Finance Committee **recommended** that the Audit Certificate for the Financial Year 2012-13, as given at **Appendix 'B'** be approved for onward submission to the MHRD.

Item No.33.10: To consider the Installation of a "Fire Fighting System" at IIT Roorkee.

10.1 The Finance Committee **considered** the proposal for installation of a "Fire Fighting System" at IIT Roorkee.

10.2 The Finance Committee recommended that the proposal for the procurement of a fire fighting system for the Institute at a cost of Rs. 4.35 crores debitable to the Plan Grant, be approved. The items required for the Fire Fighting Systems shall be procured through the open tender process, as per the purchase procedure specified in para 3(7) of the Revised Purchase and Stores Rules of the Institute dated January 2013.(Refer Appendix 'C' for details of the equipment).

Item No. 33.11: To consider the creation of a full time post of Institute Architect, at I.I.T. Roorkee.

11.1 The Finance Committee **considered** the proposal for creation of a full time post of Institute Architect at I.I.T. Roorkee.

11.2 The Finance Committee recommended that a permanent post of Institute Architect be created as per the details given below:

- (a) Designation: Institute Architect
- (b) Group: 'A'
- (c) Pay Scale: Pay Band-3 (Rs. 15600-39100) with a Grade Pay of Rs. 6600/-



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(d) Minimum Qualifications: A Bachelor's degree in Architecture and a Master's degree, with practical hands on knowledge of the relevant software that are used in architectural practice (e.g. AUTOCAD 2D and 3D, Corel Draw, Chief Architect Professional Home Design Software, Auto-desk quantity take off and estimation, etc.)

(e) Experience: At least 10 years, preferably related to a green campus.

Item No. 33.12: To consider the proposal of the Head, Centre of Excellence in Disaster Mitigation & Management for purchasing the experimental set up of Rs. 6,98,72,166.00 out of the Plan Grant.

12.1 The Finance Committee **considered** the proposal of the Head, Centre of Excellence in Disaster Mitigation & Management for purchasing the experimental set up of Rs. 6,98,72,166.00 out of the Plan Grant.

12.2 The Finance Committee recommended that the proposal for purchasing the experimental set up for Rs. 6,98,72,166.00 for the Centre of Excellence in Disaster Mitigation & Management out of the Plan Grant in the current financial year i.e. 2013-14 be approved. The supply order for the same be placed forthwith.

Note: 100% advance payment shall only be made against a bank guarantee amounting to 110% of the amount being advanced.

OR

100% payment against delivery and satisfactory installation.

The meeting ended with a vote of thanks to the Chair.



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INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
Budget - Estimate for Plan Grant
for the Financial Year 2014-15

A. PLAN

(Rs. in lacs)

S. No.	Programme	Plan Grant BE
		2014-15
1	Development of Laboratory Infrastructure & Central Facilities	10600.00
2	Library (Books & Journals), Computing, Networking and Multimedia facilities	3490.00
3	Ph. D and M. Tech Assistantship (Recurring Liabilities)	3500.00
4	Building and Works	13371.00
TOTAL		30961.00


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INDIAN INSTITUTE OF TECHNOLOGY ROORKEE

Budget - Estimate for Plan Grant for the Financial Year 2014-15

(Rs. in lacs)

S. No.	Programme	BE 2014-15
1.	Development of Laboratory and Central Infrastructure	
(i)	Laboratory Equipments in departments	8700.00
(ii)	Analytical instruments and facilities for Centres	800.00
(iii)	Strengthening of Infrastructure for Academics, Educational Technology cell, Training Placement and Industrial Liaison	50.00
(iv)	Hospital & Administrative Establishment, Campus Security, EPABX, Transportation Section	600.00
(v)	Students Amenities, Hostel Infrastructure and Sports facilities	450.00
	Sub Total	10600.00
2.	Library Computing, Networking & Multimedia Facilities	
a.	Library : Subscription of Books, Print and e-journals in Science & Technology	1430.00
b.	Computing, and Multimedia Facilities	1200.00
c.	Office Automation & ERP Solution	860.00
	Sub Total	3490.00
3.	Recurring Liabilities	
a.	Ph. D and M. Tech Assistantship	3300.00
b.	Uttarakhand Disaster Management Fund	100.00
c.	Future of Cities Workshop Fund	100.00
	Sub Total	3500.00
4(a)	Building & Works- On going Activates	
a.	Widening and relaying of roads Roorkee/Saharanpur Campus	440.00
b.	Central AC Plant for Bio-Tech Department	190.00
c.	Construction of Multi-activity Centre in IIT Roorkee Campus	270.00
d.	Construction of Lab of the CEDMM (Disaster Mitigation Centre)	35.00
e.	Sports Activities Saharanpur Campus (Estimated cost Rs. 800 Lacs)	185.00
f.	Four DG sets at IIT Roorkee Campus	190.00
	Sub Total	1310.00
4(b)	New Proposed Works	
a.	Renovation /Alteration of Academic & administrative buildings	150.00
b.	Renovation /Alteration of hostels	150.00
c.	Renovation /Alteration of residences	150.00
d.	Renovation / Alteration of Department/ Labs	150.00
e.	Rewiring in Deptts./Residences/hostels and energy saving fittings	100.00
f.	Furniture (for hostels, deptts., admn. Offices etc.)	50.00
g.	Tubewell and pipe line in Roorkee/Saharanpur Campus	100.00
h.	A.C. for Faculties in Roorkee and Saharanpur Campus	50.00
i.	Replacement of Lifts	20.00
j.	Air-Conditioning of Convocation Hall, Lecture Hall Complex, ICC & ISC	500.00
k.	Upgradation/Modernization and Extension of Electric Sub-Station	200.00
l.	HT/LT and UG Cabling	200.00
m.	Conversion from normal electric fitting to energy efficient fitting	100.00
n.	Sub-Station equipment for monitoring and energy management system	100.00
o.	Const. of Boys Hostel of 800 seats (Estimated Cost Rs. 7316 Lacs)	2920.00
p.	Const. of Transit Accommodation-cum-Married Students hostel 250 Apartments (Estimated Cost Rs. 6541 Lacs)	2610.00
q.	Const. of Lecture Hall Complex (Estimated cost Rs. 6417 Lacs)	2570.00
r.	Const. of Students Activity Centre (Estimated cost Rs. 2875 Lacs)	1150.00
s.	Site Development at Greater Noida Extension Centre (Estimated cost Rs. 791 Lacs)	791.00
	Sub Total	12061.00
	Total 4[(a)+(b)]	13371.00
	GRAND TOTAL (1+2+3+4)	30961.00


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INDIAN INSTITUTE OF TECHNOLOGY ROORKEE
BUDGET ESTIMATES
Non Plan Grant 2014-15

B. NON-PLAN

Sl. No.	Particulars	2013-14			2014-15	Justification
		Budget Estimates	Actual up to January 2014	Estimated Expenditure up to 31.03.2014	Budget Estimates	
1	2	3	4	5	6	7
	A. SALARY					Salary & Pension Components increased by 23% due to provision of 2DAs i.e. appx. 20% and one increment of 3%. Rs. 6.00 crore is added for additional faculty recruitment (Aprox. 60 No.)
	Faculty	6800.00	5442.92	6200.00	8040.00	
	Non-Faculty	4400.00	3451.06	4100.00	4920.00	
	Total Faculty & Non-Faculty	11200.00	8893.98	10300.00	12960.00	
	B. PENSION					Appx. 1 crore is kept under pension for pension arrears to faculty
	Faculty & Non-Faculty	3000.00	2707.39	3000.00	3800.00	
	C. Other Component (These item should not be included in Salary & Pension indicated above)					Other Component increased by appx. 20% except contribution to New Pension Scheme which is increased by 30% keeping in view the 2 DAs increment and new appointments.
	(i) Leave Encashment	630.00	305.99	550.00	650.00	
	(ii) LTC	350.00	385.03	550.00	600.00	
	(iii) Retirement Benefit	1100.00	530.83	900.00	1100.00	
	(iv) Children Education Allowance	150.00	99.82	150.00	175.00	
	(v) Contribution to Pension fund	50.00	23.51	50.00	60.00	
	(vi) Contribution to New Pension Scheme	165.00	188.37	210.00	270.00	
	(vii) Professional Development Allowance (PDA) (only for Technical Institute)	434.36	211.54	430.00	430.00	
	(viii) Reimbursement (Telephone & Internet)	60.00	22.24	60.00	72.00	
	(ix) Medical	430.00	270.03	430.00	450.00	
	Total (i to vii)	3369.36	2037.36	3330.00	3807.00	Non Salary Component increased by 10% approx and some components retained same according to Actual expenditure
	D. TOTAL (Salary + Pension + Other Component) i.e. {A+B+C}	17569.36	13638.73	16630.00	20567.00	
	E. NON SALARY COMPONENT (Item wise details is to be annexed) Annexure-X					
		3437.30	2824.73	3647.40	4093.00	
	Total (D+E)	21006.66	16463.46	20277.40	24660.00	

INDIAN INSTITUTE OF TECHNOLOGY ROORKEE

Details of Head wise Non-Salary Component

(Rs. in lacs)

Sl. No.	Particulars	2013-14			2014-15
		Budget Estimates	Actual up to January 2014	Estimated Expenses	Budget Estimates
1	2	3	4	5	6
E	Part -E Non Salary Component				
1	Traveling Allowance	110.00	86.56	110.00	125.00
2	Security & Other Agencies				
	Roorkee Campus	240.00	246.26	275.00	300.00
	Saharanpur Campus (hostel)	8.00	10.73	12.00	14.00
3	HRD	2.00	0.19	2.00	2.00
4	Training & Placement	9.00	5.70	9.00	10.00
5	Publication	7.00	0.65	7.00	7.00
6	Membership Fee	1.50	0.61	1.50	1.80
7	Convocation & Other Functions	24.00	27.74	30.00	33.00
8	Advertisement	15.00	2.64	15.00	15.00
9	Transport /Vehicle Maintenance & Opt	15.00	18.84	20.00	22.00
10	Legal Expenses	10.00	3.86	10.00	10.00
11	Postage & Telegram	2.80	2.23	2.80	3.00
12	Guest House	6.00	7.28	7.60	10.00
13	Audit Expenses	20.00	22.79	25.00	28.00
14	Telephones	35.00	24.89	35.00	35.00
15	Printing & Stationery	18.00	16.20	18.00	20.00
16	Contingencies	90.00	133.65	150.00	160.00
17	HINDI CELL	2.30	1.66	2.30	2.30
18	Library	31.00	13.06	31.00	31.00
19	Study Tour	35.00	19.46	35.00	35.00
20	Student Amenities (Including NCC)	25.00	49.85	55.00	60.00
21	SC/ST Student Mess Fee (Free Messing)	46.00	27.85	60.00	66.00
22	PG Examinations	95.00	73.07	95.00	95.00
23	UG Examinations	32.00	39.83	40.00	44.00
24	Department Operating Cost				
	Roorkee Campus	330.00	218.75	330.00	500.00
	Saharanpur Campus	15.00	9.26	15.00	20.00

	Greater Noida Campus	64.00	55.70	60.00	70.00
25	FIG	7.00	2.29	7.00	7.00
26	Assistantship/Fellowship/Merit-cum-Means				
	Roorkee Campus	200.00	161.64	200.00	220.00
	Saharanpur Campus	72.00	43.68	72.00	75.00
27	Computerization & Computer Support	8.00	5.53	8.00	10.00
28	Estate Maintenance				
	Roorkee Campus	670.00	570.87	670.00	700.00
	Saharanpur Campus	31.00	40.26	45.00	50.00
29	Electric Maintenance	150.00	133.24	150.00	165.00
30	Power & Fuel				
	Roorkee Campus	928.00	646.59	928.00	1020.00
	Saharanpur Campus	65.00	80.18	90.00	100.00
31	Property Tax	4.20	3.80	4.20	4.50
32	Repair & Maintenance of Equipment	2.50	3.50	4.00	4.40
33	Repair & Maintenance of Furniture	11.00	13.84	16.00	18.00
	Total of C	3437.30	2824.73	3647.40	4093.00

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Spread Post

कार्यालय प्रधान निदेशक लेखापरीक्षा (केन्द्रीय) लखनऊ, शाखा कार्यालय इलाहाबाद

सत्यनिष्ठा भवन 15 -ए दयानन्द मार्ग इलाहाबाद

पत्र संख्या: स्वा.नि.0(केन्द्रीय)/पू.ले.प.-30/2013-14/150

दिनांक: 5.02.2014

सेवा में,

सचिव, भारत सरकार,
मानव संसाधन विकास मंत्रालय,
माध्यमिक उच्च शिक्षा विभाग,
शास्त्री भवन, नई दिल्ली - 110001

विषय: भारतीय प्रौद्योगिकी संस्थान रुड़की के वर्ष 2012-13 के लेखों पर आधारित पृथक लेखा परीक्षा प्रतिवेदन।

महोदय,

- मैं, भारतीय प्रौद्योगिकी संस्थान रुड़की के वर्ष 2012-13 के लेखों पर आधारित पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) तथा वार्षिक लेख की प्रति अग्रसारित कर रहा हूँ।
- कृपया सुनिश्चित करें कि पृथक लेखापरीक्षा प्रतिवेदन एवं सम्बन्धित लेख संसद के दोनों सदनों के सम्मुख प्रस्तुत हुए।
 - कृपया पृथक लेखापरीक्षा प्रतिवेदन एवं लेखों को संसद के दोनों सदनों के समक्ष अन्तिम रूप से प्रस्तुत करने की तिथि भारत के नियंत्रक एवं महालेखापरीक्षक के साथ-साथ इस कार्यालय को भी सूचित करने का कष्ट करें।

संलग्नक: उपरोक्तानुसार।

भवदीय,

प्रधान निदेशक, लेखापरीक्षा (केन्द्रीय)
पत्र संख्या स्वा.नि.(कें)/पू.ले.प.-30/2013-14/150 दिनांक: 5.02.2014

वर्ष 2012-13 के लेखों पर पृथक लेखापरीक्षा प्रतिवेदन (अंग्रेजी) की प्रति निदेशक, भारतीय प्रौद्योगिकी संस्थान रुड़की 247 667 को आवश्यक कार्यवाही हेतु प्रेषित है। संस्थान यदि आवश्यकता अनुभव करें तो इस प्रतिवेदन का हिन्दी अनुवाद करवा सकता है परन्तु इस प्रतिवेदन के हिन्दी अनुवाद में निम्नलिखित अस्वीकरण (disclaimer) अंकित होना चाहिए: प्रस्तुत प्रतिवेदन मूलरूप से अंग्रेजी में लिखित पृथक लेखापरीक्षा प्रतिवेदन का हिन्दी अनुवाद है। यदि इसमें कोई विसंगति परिलक्षित होती है तो अंग्रेजी में लिखित प्रतिवेदन मान्य होगा।

हिन्दी अनुवाद की एक प्रति इस कार्यालय को भी प्रेषित करने का कष्ट करें।
संलग्नक: उपरोक्तानुसार।

उप निदेशक लेखापरीक्षा (केन्द्रीय)

11/02/2014 13:41 7513322420173

Separate Audit Report of the Comptroller & Auditor General of India on the accounts of Indian Institute of Technology, Roorkee for the year ended 31st March 2013.

We have audited the attached Balance Sheet of Indian Institute of Technology, Roorkee (Institute) as on 31st March 2013, the Income & Expenditure Account and Receipts & Payments Account for the year ended on that date under Section 19(2) of the Comptroller & Auditor General's (Duties, Powers & Conditions of Service) Act, 1971 read with Section 23 (2) of the Institute of Technology Act, 1961. These financial statements are the responsibility of the Institute's Management. Our responsibility is to express an opinion on these financial statements based on our audit.

2. This Separate Audit Report contains the comments of the Comptroller & Auditor General of India (CAG) on the accounting treatment only with regard to classification, conformity with the best accounting practices, accounting standards and disclosure norms, etc. Audit observation on financial transaction with regard to compliance with the Law, Rules & Regulations (Propriety and Regularity) and efficiency-cum-performance aspects, etc., if any, are reported through Inspection Report/CAG's Audit Reports separately.

3. We have conducted our audit in accordance with auditing standards generally accepted in India. These standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatements. An audit includes examining, on test basis, evidences supporting the amounts and disclosure in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating overall presentation of financial statements. We believe that our audit provides a reasonable basis for our opinion.

4. Based on our audit, we report that:


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i. We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit.

ii. The Balance Sheet, Income and Expenditure Account and Receipts & Payments Account dealt with by this report have been drawn up in the format approved by the Ministry of Finance, Government of India.

iii. In our opinion, proper books of accounts and other relevant records have been maintained by IITR as required under section 23 (1) of the Institute of Technology Act 1961 in so far as it appears from our examination of such books.

iv. We further report that:

A. Balance Sheet.

(A.1) Additions during the year in building under schedule 4 A of the Balance Sheet has been shown for ₹ 207.054 lakh and depreciation against the same has been charged for ₹ 14.16 lakh @ 5 per cent. However, depreciation @ 5 per cent comes to ₹ 10.35 lakh. As such excess depreciation of ₹ 3.81 lakh has been charged. This resulted into understatement of Fixed Assets by ₹ 3.81 lakh and overstatement of depreciation by the same amount.

B. Grants-in-aid

The Institute received grants-in-aid of ₹ 313.98 crore (₹146.20 crore under plan and ₹167.78 crore under non-plan) during the year 2012-13. After taking the opening balance of ₹ 20.29 crore (₹ 10.27 crore under plan and ₹ 10.02 crore under non-plan) the Institute could utilize a sum of ₹ 300.48 crore (₹ 126.88 crore under plan and ₹ 173.60 crore under non-plan) leaving a balance of ₹ 33.79 crore (₹ 29.59 crore under plan and ₹ 4.20 crore under non-plan) as unutilized Grant as on 31st March 2013.

C. **Management letter:** Deficiencies which have^{not} been included in the Audit Report have been brought to the notice of the through a management letter issued separately for remedial/corrective action.


31 MAR 2014

v. Subject to our observation in the preceding paragraphs, we report that the Balance Sheet, Income & Expenditure Account and Receipts & Payments Account dealt with by this report are in agreement with the books of accounts.

vi. In our opinion and to the best of our information and according to the explanations given to us, the said financial statements read together with the Accounting Policies and Notes on Accounts, and subject to the significant matters stated above and other matters mentioned in Annexure to this Audit Report give a true and fair view in conformity with accounting principles generally accepted in India.

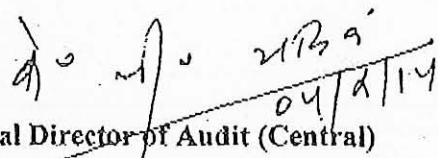
a) In so far as it relates to the Balance Sheet, of the state of affairs of the Indian Institute of Technology, Roorkee as at 31st March 2013; and

b) In so far as it relates to Income & Expenditure Account of the deficit for the year ended on that date.

For and on behalf of the C&AG of India

Place: Lucknow

Date: 04/02/2014


Principal Director of Audit (Central)

O/c  13/2/14


31 MAR 2014

Annexure

1. Adequacy of Internal Audit System

Although the Institute has established its Internal Audit Wing, no internal audit was conducted. Besides, no Internal Audit Manual has been prepared.

2. Adequacy of Internal Control System

Internal Control Mechanism is put in place by the management in order to achieve organizations, objectives compliance with prescribed rules and regulations, efficiency and effectiveness of operation and sound financial reporting.

The Internal Control Mechanism of the Institute reflected deficiencies such as non-adjustment of advances and non-provision of labour cess in their work estimates.

3. System of physical verification of Fixed Assets

The Institute had not conducted physical verification of fixed assets during the year 2012-13.

4. System of physical verification of inventories

The physical verification of Inventory had not been conducted by the Institute during the year 2012-13.

5. Regularity in payment of statutory dues

The statutory dues are being paid regularly by the Institute.

S. Sander
31/2/14
Dy. Director of Audit (Central)

O/L sayam
3.2.14

[Signature]
31 MAR 2014

त्वरित डाक द्वारा

कार्यालय प्रधान निदेशक लेखापरीक्षा (केन्द्रीय) लखनऊ, शाखा कार्यालय इलाहाबाद

सत्यनिष्ठा भवन 15 -ए दयानन्द मार्ग इलाहाबाद

पत्रांक- स्वा.नि.(के.)/पृ.ले.प.-30/2013-14/151
दिनांक- 5.02.2014

151
3.2.14

सेवा में,

निदेशक,
भारतीय प्रौद्योगिकी संस्थान,
रूडकी 247667

विषय:- प्रबन्धन पत्र-लेखाकरण अभिलेखों/प्रणालियों/आन्तरिक नियन्त्रण/आदि में देखी गयी कमियाँ ।

महोदय,

वर्ष 2012-13 के लिए भारतीय प्रौद्योगिकी संस्थान, रूडकी के वार्षिक लेखाओं की लेखा परीक्षा के आधार पर पृथक लेखा परीक्षा प्रतिवेदन जारी किया जा रहा है । लेखा परीक्षा के दौरान कुछ कमियाँ देखी गई थी जिन्हे पृथक लेखा परीक्षा प्रतिवेदन में शामिल नहीं किया गया है । इन्हे सुधारात्मक और उपचारी कार्यवाही हेतु आप के सूचना में लाया जा रहा है ।

संलग्नक : प्रबन्धन पत्र

भवदीय,

S. Sandan
उपनिदेशक लेखापरीक्षा (केन्द्रीय) 31-1-14

मे. Sayan
3.2.14

Management Letter

A. Balance Sheet.

(A.1) ₹ 3.46 lakh has been taken in Common Facility head instead of Library Fees received from the students. Hence, Common Facility has been overstated by ₹ 3.46 lakh and Library Fee is understated by ₹ 3.46 lakh.

B. General

(B.1) The bifurcation of building as residential and non-residential was not shown in the Balance Sheet.

(B.2) Assets added during the year 2012-13 were not bifurcated on the basis of their use for less than or more than six months.

S. Sandan
Dy. Director of Audit (Central)

D/C *Sanyal*
3/2/14

[Signature]
31 MAR 2014

**ACTION TAKEN ON THE FINAL AUDIT REPORT
FOR THE F.Y. 2012-2013**



**INDIAN INSTITUTE OF TECHNOLOGY, ROORKEE
ROORKEE - 247 667**

31 MAR 2014



INDIAN INSTITUTE OF TECHNOLOGY, ROORKEE

**ACTION TAKEN ON THE FINAL SEPARATE AUDIT
REPORT ON THE ACCOUNTS OF THE INDIAN
INSTITUTE OF TECHNOLOGY, ROORKEE FOR THE F.
Y. 2012-2013**

	Audit Observation	Action Taken
	<u>Introductory</u>	
1.	We have audited the attached Balance Sheet of Indian Institute of Technology, Roorkee (Institute) as on 31 st March 2013, the Income & Expenditure Account and Receipts & Payments Account for the year ended on that date under Section 19(2) of the Comptroller & Auditor General's (Duties, Powers & Conditions of Service) Act, 1971 read with Section 23 (2) of the Institute of Technology Act, 1961. These financial statements are the responsibility of the IITR's Management. Our responsibility is to express an opinion on these financial statements based on our audit.	This is only confirmation by Audit and no action is required
2.	This Separate Audit Report contains the comments of the Comptroller & Auditor General of India (CAG) on the accounting treatment only with regard to classification, conformity with the best accounting practices, accounting standards and disclosure norms, etc. Audit observation on financial transaction with regard to compliance with the Law, Rules & Regulations (Propriety and Regularity) and efficiency-cum-performance aspects, etc., if any, are reported through Inspection Report/CAG's Audit Reports separately.	This is only confirmation by Audit and no action is required
3.	We have conducted our audit in accordance with auditing standards generally accepted in India. These standards require that we	This is only confirmation by Audit and no action is required


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	plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatements. An audit includes examining, on test basis, evidences supporting the amounts and disclosure in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating overall presentation of financial statements. We believe that our audit provides, a reasonable basis for our opinion.	
4.	<p>Based on our audit, we report that:</p> <ol style="list-style-type: none"> We have obtained all the information and explanations, which to the best of our knowledge and belief were necessary for the purpose of our audit. The Balance Sheet, Income and Expenditure Account and Receipts & Payments Account dealt with by this report have been drawn up in the format approved by the Ministry of Finance, Government of India. In our opinion, proper books of accounts and other relevant records have been maintained by IITR as required under section 23 (1) of the Institute of Technology Act 1961 in so far as it appears from our examination of such books. We further report that: 	This is only confirmation by Audit and no action is required
A	<u>Balance Sheet</u>	
(A.1)	Additions during the year in building under schedule 4 A of the Balance Sheet has shown for Rs. 207.054 lakh and depreciation against the same has been	Observation has been noted for future compliance and it will be shown separately in next financial year 2013-14.

	charged for Rs. 14.16 lakh @ 5 percent. However, depreciation @ 5 percent comes to Rs. 10.35 lakh. As such excess depreciation of Rs. 3.81 lakh has been charged. This resulted into understatement of Fixed Assets by Rs. 3.81 lakh and overstatement of depreciation by the same amount.	
B	<u>Grant in Aid</u>	
	The Institute received grants-in-aid of 313.98 crore (Rs. 146.20 crore under plan and Rs. 167.78 crore under non-plan) during the year 2012-13. After taking the opening balance of Rs. 20.29 crore (Rs. 10.27 crore under plan and Rs. 10.02 crore under non-plan) the Institute could utilize a sum of Rs. 300.48 crore (Rs.126.88 crore under plan and Rs. 173.60 crore under non-plan) leaving a balance of Rs. 33.79 crore (Rs. 29.59 crore under plan and Rs. 4.20 crore under non-plan) as unutilized Grant as on 31 st March 2013.	This is only confirmation by Audit and no action is required
C	<u>Management letter</u>	
	Deficiencies which have not been included in the Audit Report have been brought to the notice of the through a management letter issued separately for remedial/ corrective action.	
	v. Subject to our observation in the preceding paragraphs, we report that the Balance Sheet, Income & Expenditure Account and Receipts & Payments Accounts dealt with by this report are in agreement with the books of accounts.	This is only confirmation by Audit and no action is required
	vi. In our opinion and to the best of our information and according to the explanations given to us, the said	

	<p>financial statements read together with the Accounting Policies and Notes on Accounts, and subject to the significant matters stated above and other matters mentioned in Annexure to this Audit Report give a true and fair view in conformity with accounting principles generally accepted in India.</p> <p>a) In so far as it relates to the Balance Sheet, of the state of affairs of the Indian Institute of Technology, Roorkee as at 31st March 2013; and</p> <p>b) In so far as it relates to Income & Expenditure Account of the deficit for the year ended on that date.</p>	
	<u>Annexure</u>	
1	<p>Adequacy of Internal Audit System</p> <p>Although the Institute has established its Internal Audit Wing, no Internal Audit was conducted. Besides, no Internal Audit Manual has been prepared.</p>	<p>Regarding the observation pointed out for internal audit, it is submitted that all the points have been noted for future compliance.</p> <p>In this regard it is ensured that Internal Audit wing has been reconstituted and shall be led by an Internal Audit Officer equal to DR/AR with a team of Audit executives having rich experience.</p>
2	<p>Adequacy of Internal Control System</p> <p>Internal Control Mechanism is put in place by the management in order to achieve organizations, objectives compliance with prescribed rules and regulations, efficiency and effectiveness of operation and sound financial reporting.</p> <p>The Internal Control Mechanism of the Institute reflected deficiencies such as non-adjustment of advances and non-</p>	<p>Institute is having its proper Internal Control system keeping in view its transaction monetary and non monetary. Effort will be made to clear the pending advances.</p> <p>The labour cess has been paid by the construction company like NBCC/CPWD etc. Hence there is no requirement of provision in the</p>


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	provision of labour cess in their work estimates.	balance sheet of the institute.
3	System of physical verification of Fixed Assets The Institute had not conducted physical verification of fixed assets during the year 2012-13.	Most of the department has conducted the physical verification on its own level and some in process.
4	System of physical verification of inventories The physical verification of Inventory had not been conducted by the Institute during the year 2012-13.	The institute does not have the inventory, Hence there is no requirement of physical verification.
5	Regularity in payment of statutory dues The statutory dues are being paid regularly by the Institute.	The statutory dues are being paid regularly and timely.
	Management Letter	
A.	Balance Sheet	
	(A.1) Rs. 3.46 lakh has been taken in Common Facility head instead of Library Fees received from the students. Hence, Common Facility has been overstated by Rs. 3.46 lakh and Library Fee is understated by Rs. 3.46 lakh.	Noted for future compliance.
B.	General	
	(B.1) The bifurcation of building as residential and non-residential was not shown in the Balance Sheet (B.2) Assets added during the year 2012-13 were not bifurcated on the basis of their use for less than or more than six months.	Noted for future compliance. Noted for future compliance.


31 MAR 2014

सन्दर्भ
Reference

भा.प्रौ.सं./IITR/संख्या/No..... No. IITR/Security/FF/56 Dated: 07.02.2014

टिप्पणियाँ एवं आदेश
Notes and Order

SUB: BUDGET PROPOSAL-PLAN GRANT: F.Y. 2014-2015

Based on the fire survey report carried out by Ceasefire Industries Ltd. 2229 nos. of various types of fire extinguishers and 809 nos. of smoke detectors including GSM Dialer were recommended in various department of IIT Roorkee campus.

The budget proposed for the purchase of these fire-appliances is given below:-

Sl No.	Description	MRP/Unit	Qty	Amount
1.	Ceasefire Clean Agent FE 36-2kg	17010	421	7161210
2.	Ceasefire Clean Agent FE 36-4kg	29940	154	4610760
3.	Ceasefire CO2 Alluminium-2kg	10710	97	1038870
4.	Ceasefire CO2 Alluminium-4.5kg	15510	115	1783650
5.	Ceasefire Ceiling Mounted FE 36 Clean Agent-5kg	39810	10	398100
6.	Ceasefire Monnex Powder 4kg	15300	20	306000
7.	Metal Fire Extinguisher-Lite Metal (SPM Tec) - 4kg	11670	7	81690
8.	Ceasefire Hydro Pyroquell System (CHPS)-2ltr	16200	78	1263600
9.	Ceasefire Hydro Pyroquell System (CHPS)-3ltr	19410	30	582300
10.	CQRS - (FE-36 DLP) - 6kg	153300	7	1073100
11.	Ceasefire ABC Powder MAP90-6kg	7830	1280	10022400
12.	Ceiling Mounted (SP-Powder MAP90)-5kg	7830	7	54810
13.	Wireless Smoke Detection Panel	54000	35	1890000
14.	Wireless Smoke Detector	9330	682	6363060
15.	Wireless Repeater	12420	57	707940
16.	External GSM Dialer	28620	35	1001700
17.	Ceasefire Clean Agent HCFC 123-1kg	6270	1	6270
18.	Ceasefire Clean Agent HCFC 123-500gm	3300	2	6600
	Sub Total		3038	3,83,52060
	Add: Vat@13.5%			51,77528
	Total			4,35,29,588

A technical cum purchase committee has been formed for processing the purchase of above items vide No.DFP/DFA/284 dated 29.11.13

Submitted for your consideration and approval please.

Dean, Finance

Sandeep Singh
(Sandeep Singh) 7th Feb 20
Prof. in-charge Security

31 MAR 2014
Approved for finance